

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Daisuke KATO et al.

Serial No: Not assigned (parent 10/162,433)

Filed: September 8, 2003

For: SEMICONDUCTOR MEMORY DEVICE

Art Unit: Not assigned

Examiner: Not assigned



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Date of Deposit: September 8, 2003

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a Continuation letter of transmittal
- ☒ check in amount of \$ 942.00 as filing fee
- ☒ patent application (85 page(s) of specification; 26 claim(s); 1 page(s) of abstract
- ☒ 18 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with 2 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Date: September 8, 2003

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Los Angeles, California 90071
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Roeshene Carbonilla

Name of person mailing papers

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification
of this Application:

☒ Class 365

☒ Subclass 200000

Prior Application

Examiner: David Lam

Art Unit: 2818

CONTINUATION APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a ☒ continuation ☐ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 10/162,433 filed June 3, 2002, by Daisuke KATO, Munehiro YOSHIDA, and Yohji WATANABE (inventor(s) currently of record in prior application) for SEMICONDUCTOR MEMORY DEVICE.

1. ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
2. ☒ The filing fee is calculated below:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	26	-20	6	LG=\$18 SM=\$9	\$18	108	
B	INDEPENDENT CLAIMS FEE*	4	-3	1	LG=\$84 SM=\$42	\$84	84	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 192
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140			\$ 0
E	BASIC FEE				LARGE ENTITY FEE = \$750 SMALL ENTITY FEE = \$375			\$ 750
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 942
	*LIST INDEPENDENT CLAIMS 27, 42, 49 and 52.							

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 50-1314. A copy of this sheet is enclosed.
4. ☒ A check in the amount of \$ 942.00 is enclosed.

5. ☒ Cancel in this application original claim(s) 2-26 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a ☒ continuation ☐ divisional of application Serial No. 10/162,433 filed June 3, 2002, which application is hereby incorporated by reference in its entirety.

7a. ☒ New formal drawings are enclosed.

7b. ☒ Priority of application Serial No(s):

Japanese application Serial No. 2001-168707 filed June 4, 2001 is claimed under 35 U.S.C. § 119.

7c. ☒ The certified copy of the priority application was filed in prior application Serial No. 10/162,433, filed June 3, 2002.

8. ☒ The prior application is assigned of record to: KABUSHIKI KAISHA TOSHIBA.

9. ☒ The power of attorney in the prior application is as listed on the attached copy of the declaration filed in the parent application.

a. ☒ The power appears in the original papers in the prior application.

b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☒ Address all future communications to:

Anthony J. Orler
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☒ Customer Number 000026021 →

d. ☐ A new Associate Power of Attorney is enclosed.

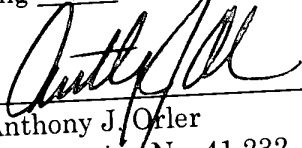
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

11. ☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ Applicant no longer claims small entity status.

12. ☒ An Information Disclosure Statement listing 2 references.

Date: September 8, 2003



Anthony J. Orlor
Registration No. 41,232

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